



2681

#4  
BT  
10-26-01

TRANSMITTAL LETTER			Case No. 9683/77
Serial No. 09/830,750	Filing Date April 30, 2001	Examiner To Be Assigned	Group Art Unit 2681
Inventor(s) Hiroji Saito et al.			
Title of Invention Apparatus for Transmitting Program Information, Communicating System, Method of Transmitting Program Information, Method of Instructing Program Recording Operation, and Method of Instructing Program Purchasing Operation.			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate), Information Disclosure Statement (in duplicate), Form PTO-1449, Six (6) cited references (A1-A6), Five (5) English Translations (A1-A5), Copy of International Search Report, and Return Postcard.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- Petition for a \_\_\_\_\_ month extension of time.
- No additional fee is required.
- The fee has been calculated as shown below:

RECEIVED

OCT 17 2001

Technology Center 2600

Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Other Than Small Entity	
Total		Minus		Rate	Add'l Fee	Rate	Add'l Fee
Indep.		Minus		x \$9 =		x \$18 =	
First Presentation of Multiple Dep. Claim							
				x 40 =		x \$80 =	
				+ \$135 =		+ \$270 =	
				Total add'l fee	\$	Total add'l fee	\$

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*Gregory Zajac*  
Gregory H. Zajac  
Registration No. 48,059  
Agent for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 4, 2001.

Date: October 4, 2001 Signature: Gregory Zajac

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

October 4, 2001

Date of Deposit

Gregory H. Zayia - Reg. No. 48,059

Name of Applicant, Assignee or  
Registered Representative

*Gregory Zayia*

Signature

*October 4, 2001*

Date of Signature



**RECEIVED**

OCT 17 2001

Technology Center 2600

Our Case No.: 9683/77

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Hiroji Saito et al.

Serial No.: 09/830,750

Filing Date: April 30, 2001

For: Apparatus for Transmitting Program Information, Communicating System, Method of Transmitting Program Information, Method of Instructing Program Recording Operation, and Method of Instructing Program Purchasing Operation

Examiner: To Be Assigned

Group Art Unit No.: 2681

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents A1-A6 listed on the attached Form PTO-1449 be considered by the Examiner and made of

record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. A copy of the International Search Report is also enclosed.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this material, the Commissioner is hereby authorized to deduct the fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

Gregory H. Zayia  
Gregory H. Zayia  
Registration No. 48,059  
Agent for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200